

For publication

Outstanding Internal Audit Recommendations

Meeting: Standards and Audit Committee

Date: 4 April 2018

Cabinet portfolio: Governance

Report by: Internal Audit Consortium Manager

For publication

1.0 Purpose of report

- 1.1 To present for members' information a summary of outstanding internal audit recommendations and the progress being made to implement them.

2.0 Recommendations

- 2.1 That the report be noted.
- 2.2 That Members' decide if they want to ask any officers to the next Standards and Audit Committee for further updates in relation to specific areas of concern.
- 2.3 That a further summary of outstanding internal audit recommendations be submitted to the Standards and Audit Committee in September 2018.

3.0 Report details

- 3.1 It has previously been agreed by the Standards and Audit Committee that a report detailing outstanding internal audit recommendations be brought to the Standards and Audit Committee every 6 months.
- 3.2 The implementation of audit recommendations is being monitored by the Corporate Management team on a regular basis and they have committed to being pro- active in ensuring that recommendations are implemented as agreed where possible.
- 3.3 Attached, as Appendix A, is a summary of outstanding internal audit recommendations as at the end of February 2018. The front page of Appendix A provides an analysis of the number of recommendations made against the number outstanding.
- 3.4 The oldest outstanding recommendations relate to the areas of IT policies, Procurement, Data Protection, Non Housing Property Repairs, Business Continuity and Emergency Planning, Section 106 and Health and Safety.
- 3.5 The timely implementation of internal audit recommendations helps to ensure that the risk of error or fraud is reduced and that internal controls are operating effectively.

4.0 Alternative options and reasons for rejection

- 4.1 The report is for information.

5.0 Recommendations

- 5.1 That the report be noted.
- 5.2 That Members' decide if they want to ask any officers to the next Standards and Audit Committee for further updates in relation to specific areas of concern.

- 5.3 That a further summary of outstanding internal audit recommendations be submitted to the Standards and Audit Committee in September 2018.

6.0 Reasons for recommendations

- 6.1 To inform Members of the internal audit recommendations outstanding so that they can assess if appropriate and timely action is being taken.

Decision information

Key decision number	N/A
Wards affected	All
Links to Council Plan priorities	This report links to the Council's priority to provide value for money services.

Document information

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Background documents These are unpublished works which have been relied on to a material extent when the report was prepared.	
Appendices to the report	
Appendix 1	Summary of outstanding audit recommendations as at the end of February 2018